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Structure–reactivity relationships of L-proline derived spirolactams and α -methyl prolinamide organocatalysts in the asymmetric Michael addition reaction of aldehydes to nitroolefins

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ABSTRACT

L-Proline derived spirolactams and α -methyl prolinamides act as organocatalysts for the asymmetric conjugate addition of aldehydes to nitroolefins in excellent yields, with good diastereoselectivity and enantioselectivity. Furthermore, low catalyst loadings (5 mol %) and a low aldehyde molar excess (1.5 M equiv) were achieved.

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1. Introduction

The field of organocatalysis has seen an explosion of interest in the last decade. In particular, L-proline derived compounds have found use as organocatalysts in the asymmetric Michael addition reaction of aldehydes and ketones to nitroolefins, with the products being produced in high yields, with excellent diastereo- and enantioselectivities (Fig. 1).¹⁻⁶ However, in many earlier cases either a large excess of the aldehyde or ketone is required (10-20 M equiv) or high levels of catalysts (10-25 mol %). More recently highly efficient catalyst systems for this transformation have been developed and are the benchmark for all new catalysts. Ma was able to achieve high yields and selectivities using only 0.5 mol % of 4 and 1.5 equiv of aldehyde in the presence of benzoic acid as an additive.³ However, Lombardo recently reported the use of the ion-tagged diphenylprolinol silyl ether 7, which achieves enantiomeric excesses of >99.5% at low catalyst loadings (0.25-5 mol %), and uses only a slight excess of aldehydes (1.2–2 M equiv).⁴ The most efficient catalyst reported to-date is the tripeptide 8 described by Wennemers.⁵ This catalyst is highly efficient at levels of only 0.1-0.2 mol %, even with the nitroalkene in excess, giving high yields and selectivities for a range of aldehydes and nitroalkenes. The usefulness of the products from these reactions resides in the potential for further transformation of both the nitro and carbonyl functionalities.

There is an ongoing requirement for the development of new organocatalysts for this and other important chemical transformations, in order to fully understand the structure-reactivity relationships of these catalysts. Many of the reported prolinederived catalysts are conformationally flexible in nature and it was thought that the introduction of conformational constraints into the structure could lead to more specific catalysts, which might allow the use of lower amounts of aldehyde or ketone, along with the requirement for low levels of the organocatalyst (e.g., 5% or less). One way to introduce such conformational constraint would be to have, for example, the L-proline as part of a rigid spiro fused ring system. Royer recently prepared such a rigid pyrrolidino spiro diamine (9, Fig. 1) and it exhibited limited success in its ability to act as an asymmetric organocatalyst in the Michael addition reaction of aldehydes to nitroolefins, although only one set of reaction conditions was reported.⁶ Rather than having the second amino group as an exocyclic substituent, incorporation of the second nitrogen atom as part of the ring would give spirolactam and spiro diamine structures.

2. Results and discussion

As part of a program to synthesise both enantiomerically pure and racemic proline-derived [4.4]-spirolactams, we recently

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Figure 1. Proline and 4-hydroxyproline derived organocatalysts. 1-6

reported our studies on their preparation by thermal intramolecular ester aminolysis methods. Diastereoisomeric spirolactams (**11a** and **11b**) were prepared and separated chromatographically (Fig. 2).

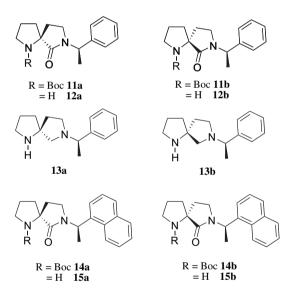


Figure 2. Synthesised spirolactam and spiro diamine organocatalysts.

It was also found that the spiro diamine derivatives **13a** and **13b** complexed a zinc ion. Te Although the stereochemistry of the α -methylbenzyl substituent was known, from the choice of the starting amine, the absolute stereochemistry of the spiro centre in each of the diastereoisomers was not known. Previously, we were unable to grow crystals of sufficient quality for X-ray analysis to be obtained, so NMR spectroscopy along with molecular modelling was used to tentatively assign the stereochemistry of the SR and RR diastereoisomeric pair, **11a** and **11b**. Eventually crystals of sufficient quality were obtained of **11b**, by crystallisation from hexane, and an X-ray crystal structure was obtained (Fig. 3), which confirmed the previous NMR spectroscopic and modelling assignments.

The X-ray crystal structure clearly shows the *R* absolute stereochemistry at the spiro centre. As a result of this structure, the absolute stereochemistry of both diastereoisomers was now known. Treatment of **11a** and **11b** with trifluoroacetic acid gave the desired deprotected compounds **12a** and **12b**. An examination of the

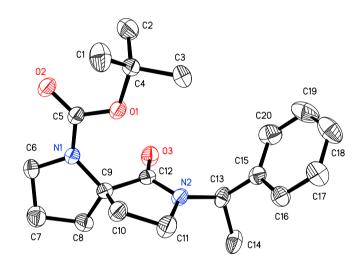


Figure 3. Perspective view of **11b** showing 50% probability ellipsoids. Hydrogen atoms omitted for clarity.

structures of these compounds shows that they can be considered as conformationally constrained analogues of prolinamides, an important class of organocatalysts. Therefore the investigation of the use of spirolactams **12a** and **12b** as organocatalysts in the model reaction of valeraldehyde with trans- β -nitrostyrene was undertaken (Table 1).

The first reaction was conducted using a low molar excess of valeraldehyde (1.5 equiv) in dichloromethane at room temperature for 72 h in the presence of 5 mol % of (S,R)-spirolactam **12a** (entry 1). Product **16** was isolated in 98% yield, with a syn/anti ratio of 62:38, and the enantiomeric excess (ee) of the syn isomer was 66%. Changing the solvent to chloroform or 2-propanol gave similar results, while the use of THF as solvent gave a better syn/anti ratio of 74:26 and an ee of 80% for the syn isomer, although the isolated yield was much reduced at 43% (entries 2, 3 and 4). DMSO gave an 80% yield, with a syn/anti ratio of 74:26, but a poor ee of only 25% (entry 5). For further studies, DCM was used as solvent. The effect of temperature on the outcome of the reaction was examined by running the reaction at 4 °C (entry 6). In this case, the isolated yield was reduced to 77%, while the syn/anti ratio improved to 70:30, with the syn isomer having an improved ee of 76%, when compared

Table 1 Michael addition reaction of valeraldehyde to β -nitrostyrene

Entry	Solvent	Cat	Add	Loading (mol %)	Aldehyde (mol eq.)	Temp.	Yield ^a	dr ^b	ee ^c
1	DCM	12a	_	5	1.5	rt	98	62:38	66
2	CHCl ₃	12a	_	5	1.5	rt	98	65:35	65
3	i-PrOH	12a	_	5	1.5	rt	98	67:33	45
4	THF	12a	_	5	1.5	rt	43	74:26	80
5	DMSO	12a	_	5	1.5	rt	80	74:26	25
6	DCM	12a	_	5	1.5	4	77	70:30	76
7	DCM	12a	_	5	10	rt	90	73:27	80
8	DCM	12a	_	20	10	rt	98	64:36	72
9	DCM	12b	_	5	1.5	rt	98	67:33	63 ^d
10	CHCl ₃	12b	_	5	1.5	rt	96	65:35	68 ^d
11	i-PrOH	12b	_	5	1.5	rt	72	68:32	63 ^d
12	DCM	12b	TFA	5	1.5	rt	71	60:40	53 ^d
13	DCM	15a	_	5	1.5	rt	98	62:38	32
14	DCM	15b	_	5	1.5	rt	98	71:29	56 ^d

- ^a Isolated yield after chromatography.
- b syn/anti ratio determined by ¹H NMR spectroscopy.
- c ee of syn isomer determined by chiral HPLC.
- d Opposite enantiomer of the syn product.

to the reaction at room temperature. Increasing the amount of valeraldehyde to 10 M equiv surprisingly gave a slight reduction in isolated yield to 90%, when compared to the use of 1.5 M equiv (98%, entry 1), but with an improved *syn/anti* ratio of 73:27, and an ee of 80% for the *syn* isomer (entry 7). Repeating this reaction with 20 mol % of the catalyst, brought the isolated yield back to 98%, but unfortunately, the *syn/anti* ratio reduced to 64:36, with a concomitant reduction in the ee of the *syn* isomer to 72% (entry 8).

Use of the diastereoisomeric (R,R)-spirolactam **12b** as catalyst, under the standard conditions, gave similar isolated yields to those obtained with **12a**, with similar *syn/anti* ratios (entries 9, 10 and 11). The enantiomeric excesses were also similar but, most importantly, in these cases the opposite enantiomer of the syn diastereoisomer now predominated, as shown by chiral HPLC analysis. Other groups have observed an improvement in both the diastereoisomeric ratio and the ee of the syn isomer on the addition of acidic additives, such as trifluoroacetic acid (TFA). Addition of 1 M equiv of TFA, using spirolactam 12b as catalyst, gave a reduced isolated yield, with poorer diastereocontrol (entry 12). All of these results show that it is the absolute stereochemistry of the spiro centre, which is controlling the observed enantioselectivity, with the stereochemistry of the side-chain substituent having little effect. This is not surprising if the proposed transition state models of the reactions are considered (Fig. 4).

The syn diastereoselectivity observed is due to the 'Seebach acyclic synclinal model', in which there are favourable electrostatic interactions in the transition state between the enamine nitrogen and the nitro group. For the syn diastereoisomeric pair the Re face of the nitrostyrene can approach the enamine Re face in two different ways (Re,Re-1 and Re,Re-2, Fig. 4), depending on whether it approaches from the same, or opposite, side as the lactam carbonyl group. Similarly the Si face of the nitrostyrene can approach enamine Si face in two ways (Si,Si-1 and Si,Si-2). Of the two possible Re,Re trajectories Re,Re-2 is the much more likely because there are two destabilising steric interactions present in the Re,Re-1 trajectory, namely the less favourable enamine rotamer as well as the interaction of the nitrostyrene with the lactam carbonyl group. Neither of these interactions are present in the **Re,Re-2** trajectory. Of the two possible Si,Si trajectories Si,Si-1 has the favourable enamine rotamer but a steric interaction with the lactam carbonyl, while Si,Si-2 has a steric interaction with the methylene of the lactam ring, as well as being the less favoured enamine rotamer. It is therefore not apparent, which of these trajectories is more favoured. Overall, it is thus the contribution of favourable electrostatic interactions as well as the unfavourable steric interactions, which controls the observed diastereoselecetivity and enantioselectivity. In the case of the use of the spirolactam 12b as catalyst, with the opposite stereochemistry at the spiro centre, the transition state with the Si,Si approach of the faces of the β -nitrostyrene and the enamine would be the predominant pathway, thus giving the observed (R,S) enantiomer as the major product.

Increasing the steric bulk of the spirolactam side-chain was achieved by replacing the phenyl group with the 1-naphthyl group. The spirolactams were synthesised in an analogous manner to the phenyl substituted compounds, but (R)-(1)-(1-naphthyl)ethylamine was used in place of (R)-(1)-phenylethylamine. As before the two diastereoisomeric spirolactams, **14a** and **14b**, were separable. Their stereochemistries were tentatively assigned by comparison of their NMR spectral data (chemical shifts and coupling constants) with the phenyl-derived compounds, as well as their relative polarities as measured by TLC analysis. Use of the Boc deprotected compounds **15a** or **15b** in the Michael addition reaction gave similar yields and diastereoselectivities to those of the corresponding phenyl derivatives **12a** and **12b**, but with slightly lower enantioselectivities (Table 1, entries 13 and 14). This confirms that the lactam side-chain is having little effect on the stereochemical outcome of the reaction.

The scope of the catalysts (**12a** and **12b**) was examined by reacting different aldehydes and β -nitrostyrenes under the optimised conditions (Table 2). Propionaldehyde showed poor diastereo- and enantioselectivity (dr 62:38, ee 34%) and a reduced isolated yield of 77% (entry 1), while the more hindered *iso*-valeraldehyde showed excellent diastereocontrol (dr 89:11) and a hugely improved ee of 82% (entry 2). Unfortunately, the isolated yield was poor (22%) due to the increased steric effect of the branched aldehyde. Reaction of valeraldehyde with substituted β -nitrostyrenes show similar diastereo- and enatioselectivity to the parent β -nitrostyrene (entries 3–8). The reason for the very poor enantioselectivity of catalyst **12b** (4% ee) with the *para*-methoxy substituted β -nitrostyrene (entry 6) is not known.

Many of the reported catalysts used to catalyse the Michael addition reaction of aldehydes and ketones to nitroolefins have been diamines derived from L-proline (Fig. 1). 1.2.6 For comparison, spirodiamines **13a** and **13b** were prepared, from spirolactams **12a** and **12b**, by removing the Boc group and reducing the lactam ring to the cyclic amine with lithium aluminium hydride. To When **13a** was used as a catalyst in the Michael addition reaction similar *syn/anti* ratios were obtained, to those when the corresponding spirolactams were used, though the isolated yield was only 85% (Table 3, entry 1).

In these cases, however, the enantioselectivity was severely reduced, with the syn isomer now being obtained in close to racemic form. Increasing the amount of catalyst to 20 mol % only increased the isolated yield back to 98%, with no effect on the stereoselectivity of the reaction (entry 3). The addition of TFA or HCl as an additive, or using the epimeric spiro diamine 13b, had no effect on this outcome (entries 2, 4, 5 and 6). The selectivity of substituted pyrrolidinebased organocatalysts in the Michael addition reaction is mostly determined by the nature of the substitutent in the 2-position (trans-4-hydroxy substitutents also exert control).^{1j} For substituents with a hydrogen bond donor present (e.g., COOH in L-proline or the N-H in prolinamides and sulfonamides), it is the attractive interaction with the nitro group of the styrene and the hydrogen bond donor, which controls the facial selectivity. 1a,j In the absence of such hydrogen bond donors the facial selectivity is controlled by the steric effect of the pyrrolidine side-chain. In this study, there is no hydrogen bond donor present in the spirolactams and thus the facial selectivity is as described previously. The results with the diamines

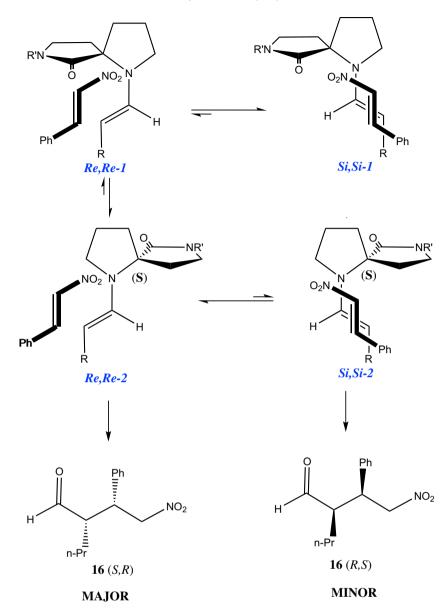


Figure 4. Proposed transition state model for Michael addition reaction of valeraldehyde with β -nitrostyrene using spirolactam catalysts.

Table 2 Michael addition reaction of aldehydes to β -nitrostyrenes

$$\begin{array}{c}
O \\
H
\end{array}$$
 $+$
 $\begin{array}{c}
Ar \\
NO_2
\end{array}$
 $\begin{array}{c}
Catalyst (5 \%) \\
DCM, RT, 72 h
\end{array}$
 $\begin{array}{c}
O \\
R^1
\end{array}$
 $\begin{array}{c}
Ar \\
R^1
\end{array}$
 $\begin{array}{c}
NO_2
\end{array}$

Entry	y Cat	R^1	Ar	Yield ^a	dr ^b	ee ^c
1	12b	Me	Ph	77	62:38	34
2	12b	i-Pr	Ph	22	89:11	82
3	12a	n-Pr	p-Tolyl	94	60:40	54
4	12b	n-Pr	p-Tolyl	90	63:37	62 ^d
5	12a	n-Pr	p-MeOC ₆ H ₄	86	56:44	78
6	12b	n-Pr	p-MeOC ₆ H ₄	82	57:43	4 ^d
7	12a	n-Pr	p-ClC ₆ H ₄	90	55:45	51
8	12b	n-Pr	p-ClC ₆ H ₄	92	62:38	73 ^d

Reactions carried out with 1.5 M equiv of aldehyde.

^a Isolated yield after chromatography.

- b syn/anti ratio determined by ¹H NMR spectroscopy.
- ^c ee of *syn* isomer determined by chiral HPLC.
- $^{\rm d}$ Opposite enantiomer of the syn product.

Table 3 Michael addition reaction of valeral dehyde to $\beta\mbox{-nitrostyrene}$ catalysed by diamines

$$\begin{array}{c}
O \\
H
\end{array}$$
 $\begin{array}{c}
O \\
Ph
\end{array}$
 $\begin{array}{c}
O \\
Ph$
 $O \\
Ph$
 O

Entry	Cat	Additive	Loading (mol%)	Aldehyde (mol equiv)	Yield ^a	dr ^b	ee ^c
1	13a	_	5	1.5	85	65:35	2
2	13b	_	5	1.5	85	68:32	14 ^d
3	13a	_	20	10	98	67:33	2
4	13a	TFA	5	1.5	99	61:39	3
5	13a	HCl	5	1.5	99	62:38	0
6	13b	TFA	5	1.5	98	65:35	3 ^d

Reactions carried out in DCM at ambient temperature, for 72 h.

- ^a Isolated yield after chromatography.
- b syn/anti ratio determined by ¹H NMR spectroscopy.
- c ee of *syn* isomer determined by chiral HPLC.
- $^{
 m d}$ Opposite enantiomer of the syn product.

13a and **13b** can be explained by examining the transition state model of the reaction (Fig. 4). In the absence of the lactam carbonyl group the *Re,Re-2* and *Si,Si-1* trajectories are equally likely, since there is now a methylene attached to both sides of the quaternary spiro carbon. This leads to equal steric preference for the *Re,Re-2* and *Si,Si-1* trajectories and thus racemic products are obtained. In this case although the spiro diamine is more conformationally flexible, the bulky nitrogen side-chain is too remote from the spiro centre to have any impact on the stereocontrol.

It would be envisaged that either breaking the lactam ring to give more conformational flexibility (17) or removal of the spiro fusion completely, to give simple prolinamides 18, might lead to improvements in the observed stereocontrol (Fig. 5).

$$R = H, Me$$

Figure 5. Conformationally flexible spirolactam analogues.

For direct comparison with the spirolactam studies it was decided to keep the α -methylbenzylamine sidechains. The synthesis of the two sets of four stereoisomers of **17** (R=Me or H) started from *N*-Boc-L-proline methyl ester **19** (Scheme 1).

α-Methylation of **19** with methyl iodide gave the racemic α-methyl ester **20** in 72% yield, which was hydrolysed to the α-methyl carboxylic acid **21**, in 93% yield. The racemic acid was then coupled, separately with R- or S-N,α-dimethylbenzylamine, using HATU as the coupling agent, to give the four N-methylated diastereoisomeric α-methyl prolinamides (**22a**–**d**). Compound **21** was also coupled, separately, with R- or S- α -methylbenzylamine, under similar conditions, to give the four N-H stereoisomeric α -methyl prolinamides (**22e**–**h**). Removal of the Boc group in each of the eight compounds gave the free amines **17a**–**h**. The relative stereochemistry of each compound was obtained from X-ray crystal structure data. Only one compound from each set gave crystals suitable for X-ray analysis (Fig. 6).

Since the stereochemistry of the amine side-chain was known, from the choice of amine starting material, the absolute stereochemistry of the quaternary centre was easily obtained. The crystalline side-chain *N*–H compound was obtained as its Boc derivative **22g** (*R*,*S* stereochemistry) while the side-chain *N*-Me compound was obtained as its ammonium tosylate salt (**17b**·TsOH, *R*,*R* stereochemistry).

The simple L-prolinamides **18** were prepared from L-proline by Boc protection of the proline nitrogen, to give N-Boc-L-proline **23**, in almost quantitative yield, followed by separately coupling to R- or S- α -methylbenzylamine, to give the two diastereoisomeric prolinamides **24a** and **24b** (Scheme 2, only reaction with (R)- α -methylbenzylamine to give **24a** is shown).

In these cases, efficient coupling was achieved using EDC, whereas HATU was necessary in the more sterically hindered coupling reactions above. N-methylation of **24a** (or **24b**), with

Scheme 1. Reagents and conditions; (a) (i) LiHMDS, THF, -78 °C, (ii) methyl iodide, rt, 72%; (b) (i) NaOH, MeOH/H2O, reflux, (ii) 1 M HCl, 93%; (c) (R)-N,α-dimethylbenzylamine, DIPEA, HATU, DMF, rt, 49%; (d) 50% TFA in DCM, rt, 88–92%; (e) (R)-α-methylbenzylamine, DIPEA, HATU, DMF, rt, 94%; (f) 50% TFA in DCM, rt, 93–96%.

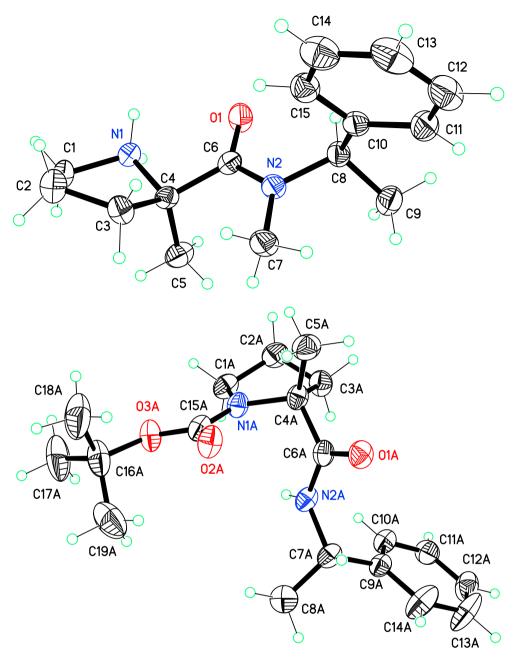


Figure 6. X-ray structures of the cation of 17b and one of the two independent conformations of 22g. Both structures are drawn with 50% probability ellipsoids. Tosyl anion in 17b has been omitted for clarity.

Scheme 2. Reagents and conditions; (a) (i) NaOH, MeOH/H₂O, reflux, (ii) 1 M HCl, 98%; (b) (R)- α methylbenzylamine, DMAP, EDC, DCM, rt, 90%; (c) (i) LiHMDS, THF, -78 °C, (ii) methyl iodide, rt, 92%; (d) 50% TFA in DCM, rt, 86–91%.

methyl iodide, gave the *N*-methyl prolinamide **25a** (or **25b**). Deprotection of **24a** and **24b** gave the N-H L-prolinamides **18a** and **18b** (R=H), while deprotection of **25a** and **25b** gave the *N*-Me L-prolinamides **18c** and **18d** (R=Me). Prolinamides **18a** and **18b** are known and have previously been described by Chimni as efficient organocatalysts, as their HBr salts, for the direct aldol reaction in water. Earlier Wu and Gong also described their use as enantioselective catalysts for direct aldol reactions. Earlier Use as enantioselective catalysts for direct aldol reactions.

The α -methyl prolinamides **17a**, **17b**, **17e** and **17f** and simple prolinamides **18a–d** were then examined as organocatalysts in the standard reaction (Table 4).

Table 4 Michael addition reaction of valeraldehyde to β -nitrostyrene catalysed by 17a, 17b, 17e, 17f and 18a-d

Entry	Catalyst	Yield ^a	dr ^b	ee ^c
1	17a (S,R)	98	61:39	40
2	17b (R,R)	98	62:38	59 ^d
3	17e (S,R)	55	56:44	63
4	17f (R,R)	60	56:44	59 ^d
5	18a (<i>S</i> , <i>R</i>)	98	77:23	71
6	18b (S,S)	98	98:2	81
7	18c (S,R)	98	93:7	49
8	18d (S,S)	94	94:6	65

Reactions carried out in DCM with 1.5 M equiv of aldehyde, at ambient temperature, for $48\ h.$

- a Isolated yield after chromatography.
- b syn/anti ratio determined by ¹H NMR spectroscopy.
- ^c ee of *syn* isomer determined by chiral HPLC.
- $^{\rm d}$ Opposite enantiomer of the syn product.

The N-methyl- α -methyl compounds **17a** and **17b** gave very similar overall results to those obtained for the corresponding spirolactams **12a** and **12b**, with similar diastereocontrol but a slight

decrease in enantioselectivity (entries 1 and 2). It is very important to note that the major syn enantiomer (16 (R,S)) obtained for 17a is opposite to that obtained with the spirolactam **12a** (Fig. 2). The α methyl N-H compounds 17e and 17f showed similar stereoselectivity, but surprisingly much reduced isolated yields of 55% and 60%. The reason for these reduced yields is not known, at present. These results clearly demonstrate that the presence of a proline α -substitutent is detrimental to achieving high levels of stereocontrol. This was borne out when the α -hydrogen N-Me catalysts **18c** and **18d** were examined. With the removal of the α methyl substituent the isolated yield was brought back to 94-98% with excellent diastereoselectivity (~94:6). Unfortunately, there was no observed increase in enantioselectivity (entries 7 and 8). Finally, the two N-H catalysts 18a and 18b were examined and found to give excellent isolated yields, diastereoselectivity and hugely improved enantioselectivity (71 and 81% ee). The diastereoselectivity for these two catalysts are quite different (77:23 and 98:2) and since both contain an N-H in the side-chain this difference is likely to be due to the overall conformation of the side-chain (entries 5 and 6). Although 18b gave excellent yield and diastereoselectivity results, the enantiomeric excess was 81%, which is below the levels reported for many proline-derived catalysts. 3-5 For this reason studies on the expansion of the scope of these catalysts in the Michael addition reaction with different aldehydes and substituted β-nitrostyrenes were not undertaken. The proposed transition state model, involves a steric interaction between the nitrostyrene and the amide side-chain on position 2 of the pyrrolidine, which destabilises the Re.Re approach for these catalysts (Fig. 7), even though there is a favourable electrostatic interaction between the nitro group and the enamine nitrogen. Thus the Si,Si approach predominates where there is a favourable electrostatic interaction between the nitro group and the enamine nitrogen, but no steric interaction with the amide side-chain, thus giving the R,S enantiomer of 16 as the major enantiomer. The selectivity observed is regardless of whether the side-chain contains an N-H, as a potential hydrogen bond donor for a favourable electrostatic interaction with the nitro group, or whether it is N-methylated.

Figure 7. Proposed transition state model for Michael addition reaction of valeraldehyde with β-nitrostyrene using simple prolinamide catalysts 18a-d.

From these studies, it is therefore apparent that the absence of an α -substituent and the presence of a sufficiently bulky prolinamide are necessary for the optimal simple prolinamide organocatalyst, for the Michael addition reaction of aldehydes to β -nitrostyrenes.

3. Conclusions

In conclusion, the main advantage of the spirolactam and α methyl prolinamide organocatalysts used in this study is that both epimers of the α -centre can be easily synthesised from a common starting material, L-proline. It is thus possible to selectively form either enantiomer of the syn Michael addition product, in excellent yield with good stereocontrol. In the case of other prolinederived catalysts, this would only be possible by separately preparing catalysts starting with D-proline. Furthermore, the amount of catalyst required for activity is low (5 mol%), along with the requirement of only 1.5 M equiv of the aldehyde partner. As stated previously the presence of a trans-4-hydroxy substitutent can have a considerable effect on the stereoselectivity obtained and we are also currently preparing analogues of all the synthesised organocatalysts reported here with this functionality present. Further studies on the scope of use of these new organocatalysts in the Michael addition reaction and other important asymmetric transformations are being undertaken, the results of which will be reported in due course.

4. Experimental

4.1. General

TLC was performed on Merck silica gel 60F₂₅₄ plates and column chromatography was performed on Aldrich silica gel, 70-230 mesh, 60 Å. ¹H and ¹³C NMR (δ ppm; J Hz) spectra were recorded on a Jeol JNM-LA300 FT-NMR spectrometer using CDCl₃ solutions with Me₄Si as internal reference, unless otherwise indicated, with resolutions of 0.18 Hz and 0.01 ppm, respectively. CHCl₃ was used to remove last traces of ethyl acetate from some samples. The last trace of CHCl₃ persisted even after prolonged heating in vacuo and in these cases was visible in NMR spectra. Infrared spectra (cm⁻¹) were recorded as KBr discs or liquid films between NaCl plates using a Nicolet Impact 410 FT-IR. Melting points were obtained on a Bibby Stuart Scientific SMP1 melting point apparatus. Microanalyses were carried out at the Microanalytical Laboratory of University College Dublin. High Resolution Mass spectra were obtained in the Centre for Synthesis and Chemical Biology, School of Chemistry and Chemical Biology, University College Dublin. Xray crystal structures were obtained in the Chemistry Department, Loughborough University, Loughborough, UK. Chiral HPLC analysis were carried out using on a Shimadzu HPLC system Class-VP, incorporating a LC-10AD pump, SPD-M10AVP Diode Array Detector, Auto-injector SIJ-10A with a system controller SCL-10A VP, on Chiralcel OD-H and AD-H chiral columns. Polarimetry was carried out using an Optical Activity AA-55 series polarimeter at ambient temperature with a 2 dm, 1 ml cell. (\pm)-2-Methyl-pyrrolidine-1,2dicarboxylic acid 1-tert-butyl ester (20) is commercially available but was synthesised (vide infra).

4.2. *N*-Boc-L-proline methyl ester (19)¹¹

Compound **19** was prepared from L-proline by the method of Confalone¹¹ giving **19** as a clear oil. Analytical data was in agreement with that reported. Microanalysis: Found C, 57.51; H, 8.60; N, 5.88. Calculated for $C_{11}H_{19}NO_4$: C, 57.60; H, 8.34; N, 6.10.

(\pm)- α -Formylmethyl N-Boc-proline methyl ester was prepared from **19** as previously described. ^{7c}

4.3. (5S) and (5R)-6-Oxo-7-((1'R)-naphthylethyl-)-1,7-diaza-spiro[4.4]nonane-1-carboxylic acid *tert*-butyl ester (14a and 14b)

Prepared from (\pm) - α -formylmethyl *N*-Boc-proline methyl ester **19** (0.65 g, 2.4 mmol) and (*R*)-1-(1-naphthyl)ethylamine (0.35 ml, 2.50 mmol), using the method as previously described for **12a** and **12b**, 7c giving a yellow oil (0.75 g, 79%). The oil was purified on silica gel using 20% ethyl acetate/petroleum ether giving the two diastereoisomers.

4.3.1. (*S,R*) Diastereoisomer (**14a**). Yellow solid, (0.33 g, 35%). R_f : 0.50 (60% ethyl acetate/petroleum ether). [α]_D +46.66 (c 0.75 in MeOH). Mp: 153–155 °C. IR, (KBr)/cm⁻¹: 3031, 2984, 1685, 1676. 1 H NMR (two rotamers present) δ : 8.00, 7.81, 7.50, (3×m, 7H), 6.13 (q, 1H, J=7.2 Hz), 3.62–3.45 (m, 3H), 3.27 & 3.08 (2×t, 1H, J=9.0 Hz), 2.55–2.30 (m, 2H), 2.15–2.05 (m, 2H), 1.98–1.91 (m, 2H), 1.74 (t, 3H, J=7.3 Hz), 1.51 & 1.48 (2×s, 9H). 13 C NMR (two rotamers present) δ : 173.2, 153.6 & 153.4, 137.4, 135.6, 133.7, 128.7, 128.5, 126.0, 124.8, 124.1, 123.8, 123.7, 80.2 & 79.4, 67.6 & 67.5, 48.1 & 48.0, 46.0 & 46.2, 38.8 & 38.3, 36.8 & 36.6, 30.1 & 29.8, 24.7, 23.3 & 23.1, 16.2 & 15.8. HRMS (ESI) calculated for $C_{24}H_{31}N_{2}O_{3}$ [M+H] $^{+}$: 395.2335. Found: 395.2336.

4.3.2. (*R,R*) Diastereoisomer (**14b**). Yellow oil, (0.36 g, 38%). R_f : 0.40 (60% ethyl acetate/petroleum ether). [α]_D +40.5 (c 1 in MeOH). IR, (Thin film)/cm⁻¹: 3031, 2986, 1680, 1676. ¹H NMR (two rotamers present) δ : 8.61(d, 1H, J=8.2 Hz), 8.20 (d, 1H, J=8.3 Hz), 7.81 & 7.50, (2×m, 6H,), 6.27 (q, 0.5H, J=6.8 Hz), 6.06 (q, 0.5H, J=6.8 Hz), 3.69–3.42 (m, 2H), 3.28 (m, 1H), 3.12–2.90 (m, 1H), 2.69 (t, 1H, J=7.0 Hz), 2.41–1.67 (m, 5H), 1.63 (d, 3H, J=7.0 Hz), 1.45 (s, 9H). ¹³C NMR (two rotamers present) δ : 173.5, 153.6, 136.5, 135.8, 133.2, 128.6, 128.2, 126.1, 125.0, 124.4, 123.9, 123.8, 79.8 & 79.4, 68.0 & 67.8, 48.3 & 48.1, 46.5 & 46.2, 38.7 & 38.5, 37.1 & 36.8, 29.9 & 29.8, 28.6, 22.6 & 22.2, 16.4 & 16.1. HRMS (ESI) calculated for $C_{24}H_{31}N_{2}O_{3}$ [M+H]⁺: 395.2335. Found: 395.2328.

4.4. (5S)-6-Oxo-7-((1'R)-naphthylethyl-)-1,7-diaza-spiro[4.4]nonane (15a)

To a solution of **14a** (0.145 g, 0.42 mmol) in DCM (0.3 ml) was added TFA (0.3 ml, 1.28 mmol), and then stirred at ambient temperature for 16 h. The solution was then concentrated in vacuo, dissolved in $\rm H_2O$ (40 ml), and the pH adjusted to ~ 8 by adding Et $_3N$ dropwise, at 0 °C. The product was then extracted with DCM (3×20 ml), dried over MgSO4 and concentrated in vacuo yielding an oil, which was purified on silica gel using 5% MeOH/DCM, giving the product.

4.4.1. (*S,R*) Diastereoisomer (**15a**). Yellow oil (0.77 g, 90%). R_f : 0.5 (10% MeOH/DCM). [α]_D +8.2 (c 1.1 in MeOH). IR, (Thin film)/cm $^{-1}$: 3332, 3032, 2995, 1684. 1 H NMR δ : 7.99–7.96 (m, 1H), 7.88–7.81 (m, 2H), 7.56–7.44 (m, 4H), 6.10 (q, 1H, J=7.0 Hz), 3.27–3.22 (m, 1H), 3.12–3.05 (m, 1H), 2.98–2.90 (m, 1H), 2.28–2.22 (m, 1H), 1.71–1.93 (m, 7H), 1.68 (d, 3H, J=7.1 Hz). 13 C NMR δ : 176.0, 135.1, 133.7, 131.6, 128.7, 128.6, 128.3, 126.8, 124.9, 124.1, 123.4, 68.1, 47.5, 46.1, 39.1, 35.3, 34.8, 26.0, 16.1. HRMS (ESI) calculated for $C_{19}H_{23}N_2O$ [M+H] $^+$: 295.1810. Found: 295.1801.

4.5. (5*R*)-6-0xo-7-((1'*R*)-naphthylethyl-)-1,7-diaza-spiro-[4.4]nonane (15b)

Compound **15b** was prepared from **14b** in a similar manner to the preparation of **15a**.

4.5.1. (*R,R*) Diastereoisomer (**15b**). Yellow oil (0.78 g, 92%). R_f : 0.3 (10% MeOH/DCM), $[\alpha]_D$ +11.0 (c 1 in MeOH). IR, (Thin film)/cm⁻¹: 3335, 3030, 2994, 1685. ¹H NMR δ: 8.10–8.01 (m, 0.5H), 7.87–7.81 (m, 0.5H), 7.55–7.48 (m, 2H), 7.46–7.21 (m, 4H), 6.14 (q, 1H, J=7.0 Hz), 3.28–3.22 (m, 1H), 3.18–3.05 (m, 1H), 2.98–2.91 (m, 1H), 2.56–2.45 (m, 1H), 2.14–1.68 (m, 7H), 1.60 (d, 3H, J=7.1 Hz). ¹³C NMR δ: 176.1, 135.1, 133.8, 131.5, 128.8, 128.6, 128.4, 126.8, 125.0, 124.2, 123.6, 68.4, 47.3, 46.2, 39.1, 35.2, 34.3, 25.8, 16.3. HRMS (ESI) calculated for $C_{19}H_{23}N_2O$ [M+H]⁺: 295.1810. Found: 295.1802.

4.6. (±)-2-Methyl-pyrrolidine-1,2-dicarboxylic acid 1-*tert*-butyl ester 2-methyl ester (20)

To a solution of 19 (0.5 g, 2.18 mmol) in dry THF (2 ml) at -20 °C, was added a 1.0 M solution of LiHMDS in THF (3.1 ml, 3.1 mmol) slowly while keeping the temperature below -15 °C. The solution was stirred for 1.5 h, under nitrogen, at this temperature. Methyl iodide (0.25 ml, 3.1 mmol) was added slowly at -20 °C. The solution was stirred while allowing it to warm to ambient temperature. After 18 h the solution was quenched with a saturated aqueous solution of NH_4Cl (5 ml), extracted with ethyl acetate (3×20 ml), washed with a brine solution (3×10 ml) and then dried over MgSO₄. The resulting solution was concentrated in vacuo and was purified by column chromatography on silica gel, using 10% ethyl acetate/petroleum ether, giving a colourless oil (0.38 g, 72%). R_f: 0.50 (20% ethyl acetate/ petroleum ether). IR, (Thin film)/cm⁻¹: 2975, 1750, 1692, 1418. ¹H NMR (two rotamers present) δ : 3.75 (s, 3H), 3.70–3.64 (m, 1H), 3.62– 3.43 (m, 1H), 2.23-2.05 (m, 1H), 2.04-1.92 (m, 3H), 1.58 (s, 3H), 1.45 & 1.41 (2×s. 9H). 13 C NMR (two rotamers present) δ : 175.4. 153.6. 79.9. 64.8, 52.1, 47.9, 40.1, 28.2, 23.1, 22.3.

4.7. (\pm) -2-Methyl-pyrrolidine-1,2-dicarboxylic acid 1-tert-butyl ester (21)

A suspension of **20** (1.25 g, 5.14 mmol) and NaOH (0.204 g, 5.1 mmol) in MeOH/H₂O (1:1, 20 ml) was heated at reflux temperature for 5 h. The solvent was removed in vacuo, and the residue was partitioned between diethyl ether and H₂O (1:1, 20 ml). The aqueous phase was then washed with diethyl ether (3×10 ml), acidified to pH 3 using 1 N HCl, followed by extraction with diethyl ether. The ether layer was then dried over MgSO₄ and concentrated in vacuo yielding the product (1.10 g, 93%), which was used without further purification. R_f : 0.10 (20% ethyl acetate/petroleum ether). Mp: 91–94 °C. IR, (Thin film)/cm⁻¹: 2978, 1740, 1648, 1432. ¹H NMR (two rotamers present) δ : 3.62–3.42 (m, 2H), 2.60 (m, 1H), 2.45 & 2.28 (2×m, 1H), 1.95–1.77 (m, 2H), 1.62 (s, 3H), 1.48 & 1.42 (2×s, 9H). ¹³C NMR (two rotamers present) δ : 176.5, 152.3, 80.6, 66.8, 48.7, 38.4, 28.4, 22.8, 22.2.

4.8. 2-Methyl-2-[methyl-(1-phenylethyl)-carbamoyl]-pyrrolidine-1-carboxylic acid *tert*-butyl ester (22a and 22b)

To a stirred solution of **21** (0.45 g, 1.96 mmol) in dry DMF (9 ml) was added DIPEA (0.675 ml, 3.92 mmol), followed by (R)-N-methyl- α -methylbenzylamine (0.25 ml, 1.96 mmol) dropwise. After stirring for 5 min. the solution was cooled to 0 °C, and a solution of HATU (0.752 g, 1.98 mmol) in dry DMF (9 ml) was added slowly. After 10 min at this temperature, the solution was allowed to warm to ambient temperature and stirring was continued for 4 h. The solution was diluted with EtOAc (200 ml), and then washed successively with 10% HCl solution (3×10 ml), saturated aqueous sodium carbonate solution (3×10 ml), H₂O (3×10 ml) and brine solution (3×10 ml), and then dried over MgSO₄. The solution was concentrated in vacuo giving the crude product (0.65 g), which was purified by column chromatography on silica gel in 10% ethyl acetate/petroleum ether.

4.8.1. (*S*,*R*) *Diastereoisomer* (**22a**). Colourless oil, (0.12 g, 18%). *R*_f: 0.7 (40% ethyl acetate/petroleum ether). [α]_D +8.18 (*c* 0.55 in MeOH). IR, (Thin film)/cm⁻¹: 2976, 1686, 1678. ¹H NMR (two rotamers present) δ: 7.36–7.24 (m, 5H), 6.18–6.11 (m, 1H), 3.75–3.63 & 3.60–3.52 (2×m, 1H), 3.38–3.30 (m, 1H), 2.61 & 2.56 (2×s, 3H), 2.16–1.96 (m, 4H), 1.59 (s, 3H), 1.56 & 1.52 (2×s, 3H), 1.48 (s, 9H). ¹³C NMR (two rotamers present) δ: 173.0, 153.5, 141.3, 128.5, 127.1 & 126.8, 80.3, 66.2, 51.7, 46.7, 38.1, 29.7, 28.3, 24.7, 23.8, 22.1. HRMS (ESI) calculated for $C_{19}H_{29}N_2O_3$ [M+H]⁺: 347.2335. Found: 347.2318.

4.8.2. (*R,R*) Diastereoisomer (**22b**). Colourless oil, (0.21 g, 31%). *Rf*: 0.6 (40% ethyl acetate/petroleum ether). [α]_D +23.9 (c 0.67 in MeOH). IR, (Thin film)/cm⁻¹: 2972, 1680, 1678. ¹H NMR (two rotamers present) δ: 7.40–7.26 (m, 5H), 6.21–6.09 (m, 1H), 3.78–3.62 & 3.59–3.51 (2×m, 1H), 3.36–3.23 (m, 1H), 2.65 & 2.50 (2×s, 3H), 2.25–1.92 (m, 4H), 1.59 & 1.57 (2×s, 3H), 1.51 & 1.42 (2×d, J=1.1 Hz, 3H, H), 1.25 & 1.19 (2×s, 9H). ¹³C NMR (two rotamers present) δ: 172.8, 153.1, 141.6, 141.4, 128.6, 127.2 & 126.9, 80.1, 66.0, 51.9, 47.2 & 47.0, 38.6 & 38.2, 29.6 & 29.4, 28.7, 24.7 & 24.5, 23.8 & 23.6, 22.1 & 21.8. HRMS (ESI) calculated for C₁₉H₂₉N₂O₃ [M+H]⁺: 347.2335. Found: 347.2325.

The reaction was then conducted using (S)-N-methylbenzylamine, following the method previously described, forming the (R,S) and (S,S) diastereoisomers **22c** and **22d**.

4.8.3. (R,S) Diastereoisomer (**22c**). Colourless oil, (0.13 g, 19%). [α]_D -8.2 (c 0.55 in MeOH). Analytical data is identical to that of the (S,R) diastereoisomer. HRMS (ESI) calculated for C₁₉H₂₉N₂O₃ [M+H]⁺: 347.2335. Found: 347.2320.

4.8.4. (*s*,*s*) Diastereoisomer (**22d**). Colourless oil, (0.24 g, 35%). $[\alpha]_D$ –24 (*c* 0.7 in MeOH). Analytical data is identical to that of the (*R*,*R*) diastereoisomer. HRMS (ESI) calculated for $C_{19}H_{29}N_2O_3$ [M+H]⁺: 347.2335. Found: 347.2335.

4.9. 2-Methyl-pyrrolidine-2-carboxylic acid methyl-(1-phenyl-ethyl)-amide (17a-d)

To a solution of **22(a–d)** (0.145 g, 0.42 mmol) in DCM (0.3 ml) was added TFA (0.3 ml, 1.28 mmol), and it was stirred at ambient temperature for 16 h. It was then concentrated in vacuo, dissolved in $\rm H_2O$ (40 ml), and the pH was adjusted to ~ 8 by adding $\rm Et_3N$ dropwise, at 0 °C. It was then extracted with DCM (3×20 ml), dried over MgSO₄ and concentrated in vacuo yielding an oil, which was purified on silica gel using 5% MeOH/DCM.

4.9.1. (S,R) Diastereoisomer (17a). Colourless oil, (0.09 g, 88%). R_f : 0.6 (10% MeOH/DCM). [α]_D +18 (c 1 in MeOH). IR, (Thin film)/cm⁻¹: 3276, 2974, 1676. ¹H NMR (two rotamers present) δ : 7.40–7.22 (m, 5H), 6.04 & 5.35 (2×q, J=7.0 Hz, 1H), 3.47–3.41 & 3.11–3.02 (2×m, 2H), 2.74 (s, 3H), 2.30–1.95 (m, 4H), 1.75 & 1.70 (2×s, 3H), 1.53 (d, J=7.1 Hz, 3H). ¹³C NMR (two rotamers present) δ : 172.8, 139.4, 129.0, 127.7 & 127.1, 68.3, 52.7, 45.6, 36.2, 30.4, 25.6, 23.9, 15.2. HRMS (ESI) calculated for $C_{15}H_{23}N_2O$ [M+H]⁺: 247.1810. Found: 247.1821.

4.9.2. (*R*,*R*) Diastereoisomer (**17b**). Colourless oil, (0.092 g, 89%). *R*: 0.5 (10% MeOH/DCM). [α]_D +25 (*c* 1 in MeOH). IR, (Thin film)/cm⁻¹: 3270, 2976, 1674. 1 H NMR (two rotamers present) δ: 7.36–7.23 (m, 5H), 6.06 & 5.56 (2×q, J=7.1 Hz, 1H), 3.26–3.20 & 3.01–2.92 (2×m, 2H), 2.76 & 2.70 (2×s, 3H), 2.17–1.83 (m, 4H), 1.59 (s, 3H), 1.51 (d, J=7.0 Hz, 3H). 13 C NMR (two rotamers present) δ: 174.7, 130.1, 128.6, 127.4 & 126.3, 66.9, 52.3, 46.2 , 36.6, 30.4, 26.0, 25.6, 15.2. HRMS (ESI) calculated for $C_{15}H_{23}N_2O$ [M+H] $^+$: 247.1810. Found: 247.1810.

4.9.3. (*R*,*S*) *Diastereoisomer* (*17c*). Colourless oil, (0.095 g, 92%). $[\alpha]_D - 18$ (*c* 1 in MeOH). Analytical data is identical to that of the

 $(\textbf{\textit{S}},\textbf{\textit{R}})$ diastereoisomer. HRMS (ESI) calculated for $C_{15}H_{23}N_2O$ [M+H]⁺: 247.1810. Found: 247.1821.

4.9.4. (*S*,*S*) Diastereoisomer (**17d**). Colourless oil, (0.095 g, 92%). $[\alpha]_D$ –26 (*c* 1 in MeOH). Analytical data is identical to that of the (**R**,**R**) diastereoisomer. HRMS (ESI) calculated for C₁₅H₂₃N₂O [M+H]⁺: 247.1810. Found: 247.1808.

4.10. 2-Methyl-2-(1-phenyl-ethylcarbamoyl)-pyrrolidine-1-carboxylic acid *tert*-butyl ester (22e-h)

To a stirred solution of **21** (0.50 g, 2.18 mmol) in dry DMF (10 ml) was added DIPEA (0.75 ml, 4.36 mmol), followed by (R)-1-phenylethylamine (0.29 ml, 2.18 mmol) dropwise. After 5 min stirring, the solution was cooled to 0 °C, and a solution of HATU (0.84 g, 2.2 mmol) in dry DMF (10 ml) was added slowly. After 10 min at this temperature, the solution was allowed to warm to ambient temperature and stirring was continued for 3 h. The solution was diluted with EtOAc (200 ml), and then washed successively with 10% HCl (3×10 ml), saturated aqueous sodium carbonate solution (3×10 ml), H₂O (3×10 ml) and brine solution (3×10 ml), and then dried over MgSO₄. The solution was concentrated in vacuo yielding a colourless oil (0.70 g), which was purified by column chromatography on silica gel in 10% ethyl acetate/petroleum ether.

4.10.1. (*S*,*R*) Diastereoisomer (**22e**). White solid, (0.38 g, 52%). *R*_f: 0.70 (40% ethyl acetate: petroleum ether). Mp: 129–132 °C. [α]_D –18.6 (*c* 0.7 in MeOH). IR, (KBr)/cm⁻¹: 3305, 2976, 1682, 1671. ¹H NMR δ : 7.82 ((br s), 1H), 7.32–7.26 (m, 5H), 5.07 ((br s), 1H), 3.52–3.25 ((br m), 2H), 2.68–2.62 ((br m), 1H), 2.19–2.15 ((br m), 1H), 1.67–1.70 ((br), 2H), 1.57 ((br m), 6H), 1.47 (s, 9H). ¹³C NMR δ : 173.7, 152.5, 129.2, 128.2, 127.1, 48.2, 28.5, 22.4, 18.4. HRMS (ESI) calculated for C₁₉H₂₉N₂O₃ [M+H]⁺: 333.2178. Found: 333.2174.

4.10.2. (*R,R*) Diastereoisomer (**22f**). Colourless oil, (0.30 g, 42%). *R_f*: 0.60 (40% ethyl acetate: petroleum ether). [α]_D +3.8 (c 0.5 in MeOH). IR, (Thin film)/cm⁻¹: 3308, 2972, 1684, 1672. ¹H NMR δ : 7.78 ((br s), 1H) 7.33–7.28 (m, 5H), 5.08 ((br m), 1H), 3.53 ((br m), 2H), 2.66 ((br m), 1H), 2.28 ((br m), 1H), 1.79 ((br m), 2H), 1.58 ((br m), 6H), 1.46 ((br s), 9H, I). ¹³C NMR (ppm) δ : 173.7, 127.4, 126.3, 125.9, 48.6, 28.2, 23.4, 22.6. HRMS (ESI) calculated for C₁₉H₂₉N₂O₃ [M+H]⁺: 333.2178. Found: 333.2193.

The reaction was then conducted using (S)-(1)-phenylethyl amine, following the method previously described, forming the (R,S) and (S,S) diastereoisomers.

4.10.3. (*R,S*) Diastereoisomer (**22g**). White solid, (0.38 g, 52%). Mp: 128–131 °C. [α]_D +18.5 (c 0.7 in MeOH). Other analytical data is identical to that of **22e**. HRMS (ESI) calculated for C₁₉H₂₉N₂O₃ [M+H]⁺: 333.2178. Found: 333.2192.

4.10.4. (S,S) Diastereoisomer (**22h**). Colourless oil, (0.30 g, 42%). [α]_D -4.0 (c 0.5 in MeOH). Other analytical data is identical to that of **22f**. HRMS (ESI) calculated for $C_{19}H_{29}N_2O_3$ [M+H]⁺: 333.2178. Found: 333.2180.

4.11. 2-Methyl-pyrrolidine-2-carboxylic acid (1-phenyl-ethyl)-amide (17)

To a solution of **22**(**e**–**h**) (0.2 g, 0.602 mmol) in DCM (0.4 ml) was added TFA (0.4 ml, 1.7 mmol), and then stirred at ambient temperature for 16 h. The solution was then concentrated in vacuo, dissolved in H₂O (40 ml), and the pH adjusted to \sim 8 by adding Et₃N dropwise, at 0 °C. It was then extracted with DCM (3×20 ml), dried over MgSO₄ and concentrated in vacuo yielding an oil, which was purified on silica gel in 5% MeOH/DCM.

4.11.1. (*S,R*) Diastereoisomer (**17e**). Yellow solid, (0.14 g, 96%). R_f : 0.2 (5% MeOH/DCM). Mp: 100–103 °C. [α]_D +30.5 (c 1 in MeOH). IR, (KBr)/cm⁻¹: 3414, 3270, 2974, 1673. ¹H NMR δ: 8.25 (br s, 1H), 7.35–7.21 (m, 5H), 5.04 (q, J=7.1 Hz, 1H), 3.08–3.03 & 2.83–2.76 (2×m, 2H), 2.23–2.17 (m, 1H), 1.71–1.53 (m, 3H), 1.46 (d, J=6.9 Hz, 3H), 1.43 (s, 3H). ¹³C NMR δ: 178.0, 144.0, 128.5, 126.9, 125.9, 66.6, 48.1, 47.4, 37.6, 26.5, 25.9, 22.3. HRMS (ESI) calculated for $C_{14}H_{21}N_2O$ [M+H]⁺: 233.1654. Found: 233.1649.

4.11.2. (*R*,*R*) Diastereoisomer (**17f**). Yellow oil, (0.13 g, 93%). *R*_f: 0.2 (5% MeOH/DCM). [α]_D²⁰ +95 (*c* 1 in MeOH). IR, (Thin film)/cm⁻¹: 3416, 3272, 2976, 1674. ¹H NMR δ : 8.12 ((br s), 1H), 7.36–7.22 (m, 5H), 5.03 (q, *J*=6.9 Hz, 1H), 3.21–3.12 & 2.96–2.88 (2×m, 2H), 2.32–2.26 (m, 1H), 1.84–1.63 (m, 3H), 1.47 (d, *J*=7.0 Hz, 3H), 1.44 (s, 3H). ¹³C NMR δ : 175.3, 146.4, 128.6, 127.2, 126.0, 67.1, 48.5, 46.8, 37.4, 28.5, 26.1, 22.8. HRMS (ESI) calculated for C₁₄H₂₁N₂O [M+H]⁺: 233.1654. Found: 233.1660.

4.11.3. (*R*,*S*) Diastereoisomer (**17g**). Yellow solid, (0.133 g, 95%). Mp: 106-109 °C. [α]_D -30.5 (c 1 in MeOH). Other analytical data is identical to that of **17e** diastereoisomer. HRMS (ESI) calculated for $C_{14}H_{21}N_{2}O$ [M+H] $^{+}$: 233.1654. Found: 233.1652.

4.11.4. (*S*,*S*) Diastereoisomer (*17h*). Yellow oil, (0.133 g, 95%). R_f : 0.2 (5% MeOH/DCM). [α]_D -81 (c 1%, l=2 dm, MeOH). Other analytical data is identical to that of *17f* diastereoisomer. HRMS (ESI) calculated for $C_{14}H_{21}N_2O$ [M+H]⁺: 233.1654. Found: 233.1647.

4.12. Pyrrolidine-1,2-dicarboxylic acid 1-tert-butyl ester (23)

A suspension of *N*-Boc-L-proline methyl ester^{7c} (1.25 g, 5.45 mmol) and NaOH (0.216 g, 5.4 mmol) in MeOH/H₂O (1:1, 20 ml) was heated at reflux temperature for 5 h. The solvent was removed in vacuo, and the residue was dissolved partitioned between diethyl ether and H₂O (1:1, 20 ml). The aqueous phase was then washed with diethyl ether (3×10 ml), acidified to pH ~ 3 using 1 N HCl, and extracted with diethyl ether (20 ml). The ether layer was then dried over MgSO₄ and concentrated in vacuo giving the product as a white solid (1.15 g, 98%). It was used without further purification. R_f : 0.1 (20% ethyl acetate/petroleum ether). Mp: 133–136 °C. IR, (KBr)/cm⁻¹: 2976, 1739, 1639, 1431. ¹H NMR (two rotamers present) δ : 4.36–4.25 (m, 1H), 3.52–3.33 (m, 2H), 2.40–2.27 (m, 1H), 2.18–1.88 (m, 3H), 1.50 & 1.43 (2×s, 9H). ¹³C NMR (ppm) δ : 177.1, 156.7, 79.8, 67.2, 47.2, 28.3, 28.1, 23.7.

4.13. 2S-(1'R-Phenyl-ethylcarbamoyl)-pyrrolidine-1-carboxylic acid *tert*-butyl ester (24a)

To a stirred solution of **23** (0.32 g, 1.50 mmol) in dry DCM (5 ml) was added (R)-1-phenylethylamine (0.17 ml, 1.50 mmol) dropwise, followed by DMAP (0.183 g, 1.50 mmol). After 5 min stirring, the solution was cooled to 0 °C, and a solution of EDC (0.316 g, 1.65 mmol) in dry DCM (5 ml) was added slowly. After 5 min at this temperature, the solution was allowed to warm to ambient temperature and stirring was continued for 16 h. The solvent was removed in vacuo and the resulting solid was dissolved in EtOAc (30 ml). It was washed successively with H_2O (3×10 ml), 5% HCl solution (3×10 ml), saturated aqueous sodium carbonate solution $(3\times10 \text{ ml})$, brine $(3\times10 \text{ ml})$ and then dried over MgSO₄. It was concentrated in vacuo yielding a colourless oil (0.46 g), which was purified by column chromatography on silica gel in 20% ethyl acetate: petroleum ether giving a white solid (0.44 g, 90%). Rf: 0.3 (40% ethyl acetate/petroleum ether). Mp: 81–84 °C. [α]_D +38.5 (c 1 in MeOH). IR, (KBr)/cm⁻¹: 3304, 2976, 1688, 1676. ¹H NMR δ: 7.51 ((br s), 1H), 7.31-7.22 (m, 5H), 5.10 ((br s), 1H), 4.32 ((br s), 1H), 3.34 ((br s), 2H), 2.41 ((br s), 1H), 2.13 ((br s), 1H), 1.85 ((br s), 4H), 1.45 (s, 9H).

¹³C NMR δ: 171.3, 155.1, 143.0, 128.6, 125.9, 47.1, 28.4. Some signals missing due to line broadening. HRMS (ESI) calculated for $C_{18}H_{27}N_2O_3$ [M+H]⁺: 319.2022. Found: 319.2015.

4.14. 25-(1'S-Phenyl-ethylcarbamoyl)-pyrrolidine-1-carboxylic acid *tert*-butyl ester (24b)

Prepared from **21** (0.32 g, 1.50 mmol) in a similar manner to **24a** using (*R*)-1-phenylethylamine. The crude product was purified by column chromatography on silica gel in 20% ethyl acetate/petroleum ether giving a white solid (0.44 g, 90%). R_f : 0.2 (40% ethyl acetate/petroleum ether). Mp: 98–101 °C. [α]_D –130 (c 1 in MeOH). IR, (KBr)/cm⁻¹: 3305, 2977, 1688, 1675. ¹H NMR δ : 7.51 ((br s), 1H), 7.31–7.25 (m, 5H), 5.10 ((br s), 1H), 4.33 & 4.25 (2×m, 1H), 3.35 ((br s), 2H), 2.41 ((br s), 1H), 2.12 ((br s), 1H), 1.85 ((br s), 5H), 1.46 (s, 9H). ¹³C NMR δ : 171.6, 154.1, 143.2, 130.7, 128.6, 127.1, 80.5, 48.6, 28.1. HRMS (ESI) calculated for C₁₈H₂₇N₂O₃ [M+H]⁺: 319.2022. Found: 319.2007.

4.15. 2S-Pyrrolidine-2-carboxylic acid (1^rR -phenyl-ethyl)-amide (18a)

To a solution of **24a** (1.0 g, 3.14 mmol) in DCM (2 ml) was added TFA (2 ml, 17 mmol), and the solution was stirred at ambient temperature for 16 h. It was then concentrated in vacuo, dissolved in H₂O (40 ml), and the pH adjusted to ~8 by adding Et₃N dropwise, at 0 °C. The product was then extracted with DCM (3×20 ml), dried over MgSO₄ and concentrated in vacuo yielding an oil, which was purified on silica gel in 5% MeOH/DCM, giving the product as a yellow oil (0.62 g, 91%). R_f : 0.5 (10% MeOH/DCM). [α]_D +21.5 (c 1 in MeOH). IR, (Thin film)/cm⁻¹: 3412, 3263, 2976, 1672. ¹H NMR δ : 7.97 ((br s), 1H), 7.35–7.21 (m, 5H), 5.09–5.04 (m, 1H), 3.91–3.86 (m, 1H), 3.08–2.92 (m, 2H), 2.22–2.10 (m, 1H), 1.93–1.87 (m, 1H), 1.77–1.70 (m, 2H), 1.47 (d, 3H, J=7.1 Hz). ¹³C NMR δ : 173.6, 144.8, 128.6, 127.3, 126.0, 60.3, 49.2, 48.6, 30.6, 25.9, 21.5. HRMS (ESI) calculated for C₁₃H₁₉N₂O [M+H]⁺: 219.1497. Found: 219.1498.

4.16. 2S-Pyrrolidine-2-carboxylic acid (1'S-phenyl-ethyl)-amide (18b)

Prepared from **24b** (1.0 g, 3.14 mmol) in a similar manner to **18a** to give **18b** as a yellow oil (0.64 g, 94%). R_f : 0.4 (10% MeOH/DCM). [α]_D -48 (c 1 in MeOH). IR, (Thin film)/cm⁻¹: 3414, 3260, 2977, 1670. ¹H NMR δ: 8.08 ((br s), 1H), 7.34–7.20 (m, 5H), 5.30–5.01 (m, 1H), 4.43–4.41 (m, 1H), 3.49–3.10 (m, 2H), 2.38–2.34 (m, 1H), 1.94–1.86 (m, 3H), 1.48 (d, 3H, J=7.2 Hz). ¹³C NMR δ: 168.8, 143.1, 128.7, 127.4, 125.8, 59.5, 50.3, 46.4, 30.2, 24.8, 22.0. HRMS (ESI) calculated for $C_{13}H_{19}N_{2}O$ [M+H]⁺: 219.1497. Found: 219.1497.

4.17. 2S-[Methyl-(1'*R*-phenyl-ethyl)-carbamoyl]-pyrrolidine-1-carboxylic acid *tert*-butyl ester (25a)

To a solution of **24a** (0.5 g, 1.57 mmol) in dry THF (5 ml) at $-20\,^{\circ}$ C, was added a 1.0 M solution of LiHMDS in THF (1.62 ml, 1.62 mmol) slowly, while keeping the temperature below $-15\,^{\circ}$ C. The solution was stirred for 30 min, under nitrogen, at this temperature. Methyl iodide (0.30 ml, 3.93 mmol) was added slowly at $-20\,^{\circ}$ C. The solution was stirred while allowing it to warm to ambient temperature. After 18 h the solution was quenched with 1 N HCl (20 ml), and the majority (80%) of the solvent was removed in vacuo. The remaining suspension was diluted with diethyl ether (40 ml) and the organic phase was separated, washed with 1 N HCl solution (3×10 ml), saturated aqueous sodium chloride solution (3×10 ml), then dried over MgSO₄ and concentrated in vacuo. The crude product was purified by column chromatography on silica gel in 30% ethyl acetate: petroleum ether giving a yellow oil (0.50 g,

92%). R_f : 0.3 (20% ethyl acetate/petroleum ether). [α]_D +48.3 (c 0.6 in MeOH). IR, (Thin film)/cm $^{-1}$: 2978, 1697, 1654. ¹H NMR (two rotamers present) δ : 7.34–7.20 (m, 5H), 6.15–6.05 (m, 1H), 5.02 & 4.60 (2×m, 1H), 3.70–3.35 (m, 2H), 2.82 & 2.70 (2×s, 3H), 2.12–2.08 (m, 2H), 1.95–1.88 (m, 2H), 1.65 (s, 3H), 1.48 & 1.35 (2×s, 9H). ¹³C NMR (two rotamers present) δ : 173.6, 153.4, 140.3, 128.8, 128.2, 127.0, 56.7, 50.5, 46.8, 30.6, 29.5, 28.5, 24.4, 15.6. HRMS (ESI) calculated for $C_{19}H_{29}N_2O_3$ [M+H] $^+$: 333.2178. Found: 333.2171.

4.18. 25-[Methyl-(1'S-phenyl-ethyl)-carbamoyl]-pyrrolidine-1-carboxylic acid *tert*-butyl ester (25b)

Prepared from **24b** (0.5 g, 1.57 mmol) in a similar manner to **25a**. The crude product was purified by column chromatography on silica gel in 30% ethyl acetate/petroleum ether giving a yellow oil (0.52 g, 98%). R_f : 0.2 (20% ethyl acetate/petroleum ether). [α]_D –102.3 (c 0.85 in MeOH). IR, (Thin film)/cm $^{-1}$: 2978, 1696, 1653. 1 H NMR (two rotamers present) δ : 7.34–7.21 (m, 5H), 6.04 (m, 1H), 4.67 & 4.55 (2×m, 1H), 3.68–3.45 (m, 2H), 2.73 & 2.68 (2×s, 3H), 2.08–2.02 (m, 2H), 1.89–1.85 (m, 2H), 1.58 (s, 3H), 1.48 & 1.45 (2×s, 9H). 13 C NMR (two rotamers present) δ : 172.9, 151.3, 141.6, 128.5, 128.4, 127.2, 57.8, 50.6, 47.1, 29.2, 28.9, 28.5, 23.5, 15.2. HRMS (ESI) calculated for $C_{19}H_{29}N_2O_3$ [M+H] $^+$: 333.2178. Found: 333.2166.

4.19. 2S-Pyrrolidine-2-carboxylic acid methyl-(1'*R*-phenylethyl)-amide (18c)

To a solution of **25a** (0.3 g. 0.903 mmol) in DCM (0.6 ml) was added TFA (0.6 ml. 2.6 mmol), and the solution was stirred at ambient temperature for 16 h. It was concentrated in vacuo, dissolved in H₂O (40 ml), and the pH was adjusted to ~8 by adding Et₃N dropwise, at 0 °C. The product was then extracted with DCM (3×20 ml), dried over MgSO₄ and concentrated in vacuo yielding an oil, which was purified on silica gel in 5% MeOH/DCM, giving the product as a yellow oil (0.18 g, 86%). R_f : 0.6 (10% MeOH/DCM). [α]_D +41 (c 1 in MeOH). IR, (Thin film)/cm⁻¹: 3438, 2979, 1697, 1655. ¹H NMR (two rotamers present) δ : 8.10 ((br d), 1H), 7.40–7.22 (m, 5H), 5.92 (q, 1H, J=7.1 Hz), 5.30 & 4.74, 3.98 & 3.69 ($4 \times m$, 1H), 3.49-3.39& 3.18-2.90 (2×m, 2H), 2.76 & 2.71 (2×s, 3H), 2.51-1.58 (m, 4H), 1.50 & 1.47 (2×d, 3H, J=7.1 Hz). ¹³C NMR (two rotamers present) δ: 172.1 & 169.3, 142.8 & 138.5, 129.1, 128.6, 127.1, 60.3 & 58.1, 52.3 & 48.4, 47.1 & 46.6, 30.6 & 29.7, 25.8 & 25.6, 22.2, 15.6. HRMS (ESI) calculated for $C_{14}H_{21}N_2O$ [M+H]⁺: 233.1654. Found: 233.1661.

4.20. 2S-Pyrrolidine-2-carboxylic acid methyl-(1'S-phenylethyl)-amide (18d)

Prepared from **25b** (0.3 g, 0.903 mmol) in a similar manner to **18c** to give an oil, which was purified on silica gel in 5% MeOH/DCM, giving the product as a yellow solid (0.19 g, 88%). R_f : 0.5 (10% MeOH/DCM). Mp: 172–175 °C. [α]_D –120 (c 1 in MeOH). IR, (KBr)/cm⁻¹: 3436, 2980, 1698, 1650. ¹H NMR (two rotamers present) δ : 7.39–7.21 (m, 5H), 5.93 (q, 1H, J=7.1 Hz,), 5.05 & 4.84, (2×m, 1H), 3.56–3.51 & 3.46–2.38 (2×m, 2H), 2.69 (s, 3H), 2.54–2.47 (m, 1H), 2.21–2.14 (m, 1H), 2.08–2.00 (m, 1H), 1.88–1.82 (m, 1H), 1.55 (d, 3H, J=7.2 Hz). ¹³C NMR (two rotamers present) δ : 169.3, 138.8, 129.3, 128.8, 127.2, 58.0, 52.4, 46.7, 29.7, 29.1, 25.3, 15.2. HRMS (ESI) calculated for $C_{14}H_{21}N_2O$ [M+H]⁺: 233.1654. Found: 233.1655.

4.21. General procedure for the Michael Addition reaction of aldehydes and β -nitrostyrene

To a solution of the β -nitrostyrene (0.15 g, 1 mmol) in dry DCM (1 ml) was added the relevant catalyst (0.05 mmol), followed by the aldehyde (1.5 mmol). The reaction was stirred at ambient temperature for 48 or 72 h, under a nitrogen atmosphere. It was then

diluted with chloroform (5 ml) and treated with 1 N HCl (4 ml), while stirring vigorously. The aqueous layer was extracted with chloroform and the combined organic layers were dried over MgSO₄ and concentrated in vacuo. The crude product was purified by column chromatography on silica gel with 5% EtOAc/petroleum ether. For example, 2-propyl-4-nitro-3-phenylbutyraldehyde (16): R: 0.6 (20% ethyl acetate/petroleum ether). Analytical data was as reported in the literature.¹² HPLC data: Chiralcel OD-H column; flow 1.6 ml/min using 90/10 hexane/2-propanol, syn t_r =6.4 min (S,R) and 8.9 min (R,S), anti t_r =7.6 min and 13.0 min.

4.22. X-ray data

The data were collected at 150(2) K on a Bruker Apex II CCD diffractometer. The structures were solved by direct methods 13,14 and refined on F² using all the reflections.¹⁴ All the non-hydrogen atoms were refined using anisotropic atomic displacement parameters and hydrogen atoms bonded to carbon were inserted at calculated positions using a riding model. The H atoms bonded to nitrogen or oxygen were located from difference maps and refined with thermal parameters riding on the carrier atoms.

Crystal data for 11b. $C_{20}H_{28}N_2O_3$, M=344.44. orthorhombic, b=16.557(2), c=17.425(3) Å, U=1883.9(5) Å³, a=6.5297(9), T=150(2) K, space group $P2_12_12_1$, Z=4, 14,987 reflections measured, 1930 independent reflections (R_{int} =0.0534). The final $wR(F^2)$ was 0.0949 (all data) and R1 was 0.0374 for *I*>2s(*I*). CCDC No. 687387.

Crystal data for 17b·TsOH. C₂₂H₃₀N₂O₄S, M=418.54. orthob=12.6431(18), a=7.8627(11), c=21.679(3) Å. $U=2155.1(5) \text{ Å}^3$, T=150(2) K, space group $P2_12_12_1$, Z=4, 19,139 reflections measured, 4406 independent reflections (R_{int} =0.0571), which were used in all calculations.¹³ The final $wR(F^2)$ was 0.0812 (all data) and R1 was 0.0397 for *I*>2s(*I*). CCDC No. 749092.

Crystal data for 22g. $C_{19}H_{28}N_2O_3$, M=332.43. orthorhombic, a=9.9790(9), b=16.6480(15), c=23.276(2) Å, U=3866.9(6) Å³, T=150(2) K, space group $P2_12_12_1$, Z=8, (two independent molecules in the asymmetric unit), 34,133 reflections measured, 4427 independent reflections (R_{int} =0.0801). The final $wR(F^2)$ was 0.0820 (all data) and R1 was 0.0400 for *I*>2s(*I*). CCDC No. 749093.

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